The Center for Community Engagement at Arkansas State University

# **Positive Behavioral Interventions & Supports**

# Facilitator's Guide to PBIS Tier III Training

# Module 1

# **Overview and Readiness for PBIS Tier III**







## Arkansas State Personnel Development Grant

Focus Area: An introduction to Tier III

#### Title of Training:

## **Module 1: Overview and Readiness for PBIS Tier III**

#### Suggested Training Time: 1-2 hours

#### About this guide:

This guide and others in the Tier III series were developed to assist facilitators when presenting PBIS training modules to administrators, schools, districts, teams, and others interested in implementing PBIS Tier III.

#### What is included?

- The first part of this guide (pgs. 1 4) includes information to help facilitators prepare to present the training module.
- The main part of this guide includes a picture of each slide from the accompanying PowerPoint, with notes for presenting each slide.
- Throughout the guide are activities and examples that are not included in the accompanying PowerPoint presentation.
  - The activities will appear in a green text box with a green star.
  - $\circ$  Examples will appear in a blue text box with a smiley face.  $(\bigcirc$
- At the end of this guide are discussion questions, homework, and resources for attendees.

#### Suggested pre-requisites to this training:

- PBIS Tier I Modules
- PBIS Tier II Modules
- Basic Behavior Concepts developed by K-12 Arkansas Behavior Support Specialists, found at the website <u>www.arbss.org</u> (courses at <u>https://arbss.org/courses-2/</u>)

(Page 1)

#### Training Description:

This presentation is a brief overview of PBIS Tier III. It is designed to give administrators, schools, districts, or other personnel an introduction to Tier III, foundations of behavior management, and readiness criteria for Tier III.

#### **Module Sections:**

**Introduction** – Purpose and objectives (slides 1 - 6) pgs. 5 - 11

### **Training Sections**

Section 1 – Introduction to PBIS Tier III (slides 7 – 14) pgs. 12 – 20

Section 2 – Foundations of Behavior Management (slides 15 – 22) pgs. 21 – 29

Section 3 – Functional Behavior Assessment (slides 23 – 31) pgs. 30 – 39

- Section 4 Behavior Intervention Plan (slides 32 38) pgs. 40 47
- **Section 5** Wraparound (slides 39 42) pgs. 48 52

Section 6 – Teaming at Tier III (slides 43 – 46) pgs. 53 – 57

Section 7 – Readiness Criteria (slides 47 – 57) pgs. 58 – 72

Case Study, TFI, and Next Steps – (slides 58 – 68), pgs. 73 – 84

Conclusion – Summary and resources (slides 69 – 73) pgs. 85 – 90

**Discussion questions, homework, resources** – pgs. 91 – 92

**Training Materials/Equipment:** 

### PowerPoint for Module 1: Overview and Readiness for PBIS Tier III

- Equipment needed/recommended to project the PowerPoint:
  - Laptop computer
  - Access to PowerPoint (downloaded on computer, flash drive, etc.)
  - Projector
  - Speakers, if needed
  - Microphone(s), if needed
  - Required connecting cables, extension cords, etc.
  - "Clicker" to advance slides
- Showing videos embedded in the PowerPoint presentation:

- Before beginning your training session, put the PowerPoint in presenter mode and advance to the slides with embedded videos.
- Note that it may take a minute for the video to load.
- Once the video is loaded, a still shot with an arrow to start the video will appear on the slide.
- Click on arrow to check that the video works with your Wi-Fi.
- Provide links for participants to download the PowerPoint.
- If necessary, provide a hard copy of the PowerPoint.

## Suggested Materials:

- Notepads
- Pens or pencils
- Easel and flip chart
- Markers
- Sticky notes



## Handouts:

Physical and/or electronic copies of:

- <u>Tier III Readiness Guideline</u> (pg. 67)
- <u>Tier III Readiness Guide with Action Planning</u> (pg. 70 71)



## Activities in this Training:

- Tier III Overview for Staff (pg. 20)
- Completing an A-B-C (pg. 35)
- Collaboration in FBA (pg. 39)
- The FBA/BIP Relationship (pg. 47)
- Tier III Readiness Checklist (pg. 72)

## **Essential Questions:**

These essential questions will be addressed in this training module:

- How does Tier III fit into the 3-tiered PBIS framework?
- What are the foundations of behavior management and how do they influence PBIS Tier III?
- What do teams look like at Tier III of PBIS?
- What indicators determine readiness for PBIS Tier III?

## Trainer Tips:

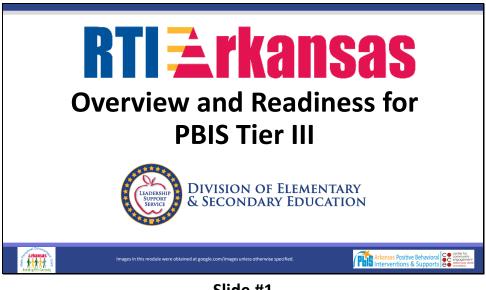
This first module of the Tier III training series is designed to help schools and districts understand what is needed to begin implementing Tier III.

- Tiers II and III are aligned to Tier I, so it is important for schools to understand that they must have a strong Tier I in place.
- Because it is not efficient to have individualized supports for every student needing more than Tier I, it is important to have a strong Tier II with interventions and instruction linked to behavioral expectations developed and defined in Tier I implementation.
- Developing individualized behavior plans for students will require more time and resources than small group or school-wide supports. Thus, it is important for the PBIS team or a dedicated Tier III team to take time up front to create systems that will make it more efficient to refer, assess, and create individual plans for students.
- The modules in the Tier III training series will include an ongoing case study. We will follow a school's journey through implementing Tier III, assessing a student referred for Tier III supports, and creating a behavior intervention plan for the student.

## Introduction

Slides 1 - 6

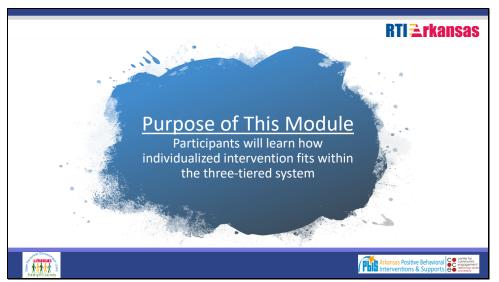
- Purpose of this module
- Objectives of this module
- Introduction to the case study



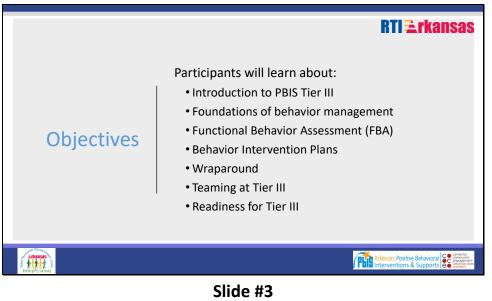
### Slide #1 [ Overview and Readiness for PBIS Tier III ]

#### **Trainer Notes:**

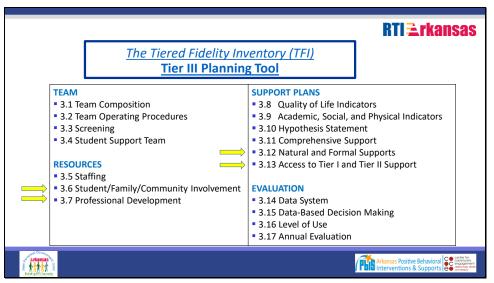
Basic Behavior Concepts developed by K-12 Arkansas Behavior Support Specialists can be found at the website <u>www.arbss.org</u> (courses). This should be a prerequisite for viewing Tier III modules. (<u>https://arbss.org/courses-2/</u>)



Slide #2 [ Purpose of This Module ]



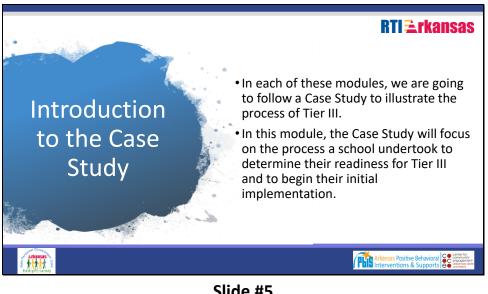
[ Objectives ]



#### Slide #4

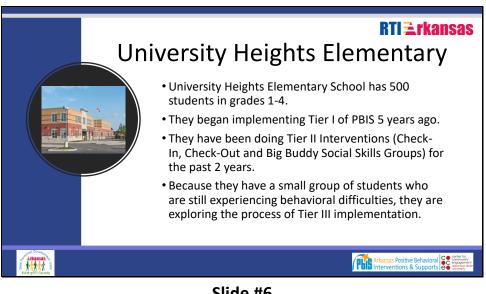
## [ The Tiered Fidelity Inventory (TFI) Tier III Planning Tool ]

- The TFI will be used throughout PBIS modules. Each module aligns with one or more of the TFI items, denoted by the yellow arrows.
- The TFI is a tool that can be used for PBIS implementation planning, PBIS progress monitoring, and evaluation of PBIS implementation.
- In this module, items 3.6, 3.7 3.12 and 3.13 will be addressed.



Slide #5 [ Introduction to the Case Study ]

The school and people presented in this case study are fictional. The purpose of the Case Study is to provide a concrete example of Tier III processes to enhance participant understanding.



## Slide #6 [ University Heights Elementary ]

## **Training Section One**

# Introduction to PBIS Tier III

## Slides 7 - 14

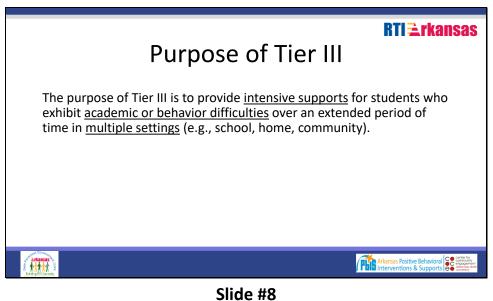
## Goals

Participants will learn

- The purpose of Tier III
- Tier III core features
- How to transition into Tier III



[Introduction to PBIS Tier III]

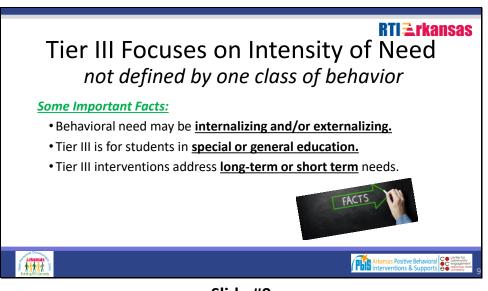


## [ Purpose of Tier III ]

#### **Trainer Notes:**

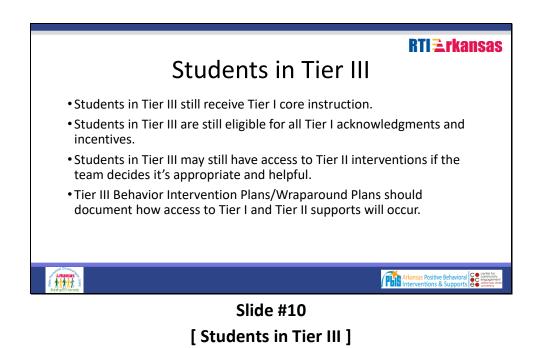
Tier III interventions of support are also referred to as the tertiary level.

- This level of support consists of individualized interventions that target the function, or cause, of the student's behavior.
- Students typically receive Tier III supports due to non-responsiveness to secondary interventions and intensity of behavior (e.g., self-injurious behavior, severe aggression) (*Simonsen et al, 2010*).

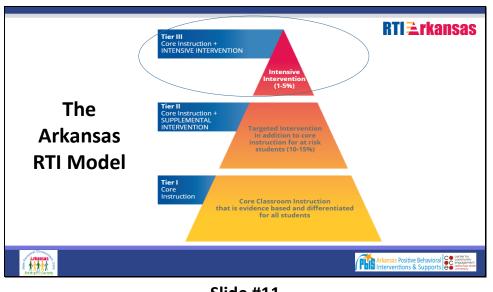


## Slide #9 [ Tier III Focuses on Intensity of Need ]

- Animations. Click once for pictures and Reminders about the Facts.
- Click again for first bullet. Click again for 2<sup>nd</sup> bullet. Click again for bullets 3-4.
- Examples of behaviors:
  - Internalizing: anxiety (e.g., biting fingernails, rocking back and forth), depression (e.g., not engaging in preferred activities, sleeping in class), withdrawal from peers
  - Externalizing: throwing chairs, screaming obscenities, hitting others

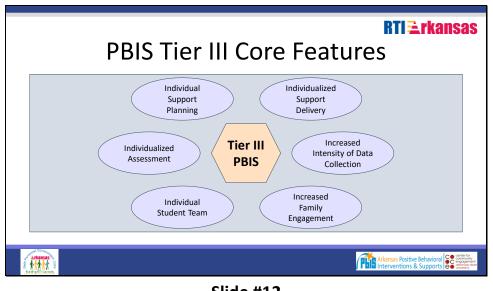


Students in Tier III still receive reminders about the school-wide and classroom behavior expectations; are still eligible to be acknowledged for Tier I behaviors and are included in Tier I celebrations; are still able to remain in CICO or social skills groups, if needed; etc.



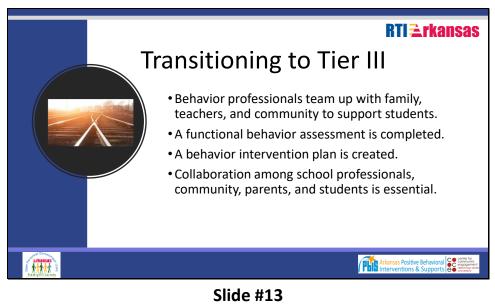
Slide #11 [ The Arkansas RTI Model ]

- This is the Arkansas RTI model, which shows the levels of support available for students, and what types of supports they receive at each tier.
- The circled area shows where Tier III fits in the model; individualized intervention for a very small percentage of students (1-5%).



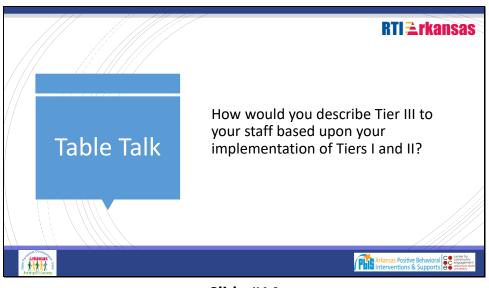
Slide #12 [ PBIS Tier III Core Features ]

- Tier III provides specialized and intensive supports for students who have a history of behavior and academic difficulties over an extended period of time across multiple domains (e.g., school, home, community).
- Tier III involves identifying and providing individualized supports to students who require intensive supports.



[Transitioning to Tier III]

- Despite our best efforts in Tiers I and II, some students require more intensive support and planning.
- At this level, the behavior professionals in the school need to team up with the family and teachers to learn more about the student and create a comprehensive individualized behavior plan.
- The first step in this process is to complete a functional behavior assessment (FBA) and then develop a behavior intervention plan (BIP).
- Most importantly, Tier III will require the collaborative efforts of professionals from the school and community and parents to fully achieve student success.



Slide #14 [ Table Talk ]

- Notes for guiding the discussion:
  - Review Tier I.
  - Review Tier II and when and how students are referred to Tier II.
- Below are some key points:
  - Tier III is focused on an individual with chronic or sometimes dangerous behaviors.
  - Tier III uses a team approach to enhance students' quality of life and the quality of life of the school as a whole.
  - Tier III is targeting those behaviors which continue despite Tier I (and possibly Tier II) input and intervention.
  - The Tier III target audience is much smaller but will have significant impact on the school culture as well as individual outcomes.



#### Tier III Overview for Staff

- Use the trainer notes above to lead a discussion around providing a Tier III overview to staff that builds on the implementation of Tiers I and II.
- Engage participants in a discussion on what they might include in a Tier III introduction to staff.

## **Training Section Two**

# **Foundations of Behavior Management**

## Slides 15 - 22

## Goals

Participants will learn

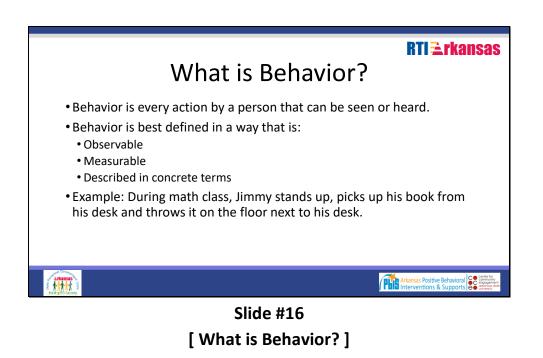
- The foundations of behavior
- Basic principles of ABA



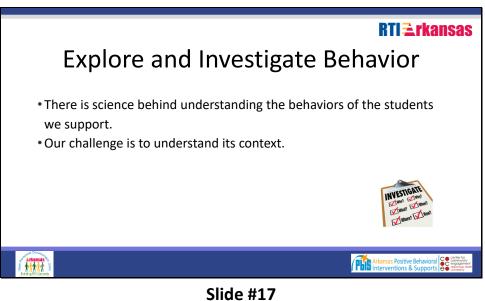
## [Foundations of Behavior Management]

#### **Trainer Notes:**

This section addresses some underlying assumptions, basic concepts, myths and misconceptions, and historical foundations of the study of behavior and behavior management.



When we hear the word "behavior," we may often think of it in terms of challenging behavior; however, it also refers to those appropriate/desired skills that a child demonstrates (e.g., taking turns playing a game, washing the dishes, taking a break when they are feeling frustrated, etc.).



# [Explore and Investigate Behavior]

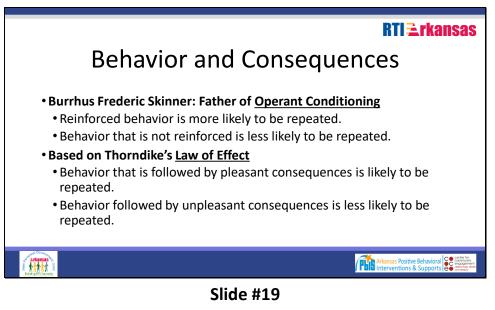
#### **Trainer Notes:**

Understanding why individuals behave the way they do and how behavior may be learned, changed, or modified is the primary concern of Tier III.



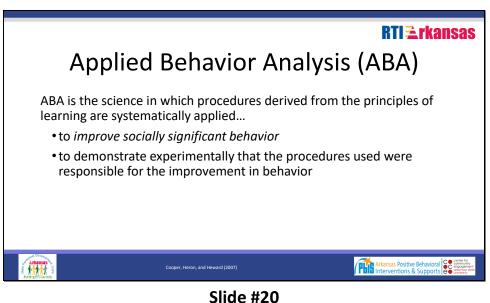
Slide #18 [ Historical Foundations ]

The behavior of individuals has been influenced since the beginning of civilization (*Alberto & Troutman, 2013*).



#### [Behavior and Consequences]

- Operant conditioning explains and predicts changing human behavior. It focuses on the consequences of behavior and the relationship between the behavior and the consequence.
  - What happens prior to the behavior? What happens after the behavior?
- Note: the words pleasant and unpleasant used in Thorndike's Law of Effect refer to behaviors that are adaptive or productive for the individual vs. behaviors that are maladaptive or result in exposure to aversive conditions.



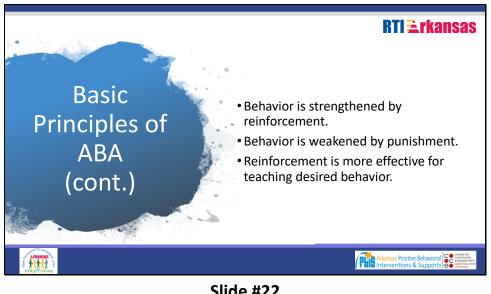
# [ Applied Behavior Analysis (ABA) ]

- Every behavior has an antecedent (what happens immediately before the behavior) and a consequence (what happens right after the behavior).
- We need to modify these in order to have an effect on a behavior.
- From Psychology Today (<u>https://www.psychologytoday.com/us/therapy-types/applied-behavior-analysis</u>): "Applied Behavior Analysis (ABA) is a type of <u>therapy</u> that focuses on improving specific behaviors, such as social skills, communication, reading, and academics as well as adaptive learning skills, such as fine motor dexterity, hygiene, grooming, domestic capabilities, punctuality, and job competence. ABA is effective for children and adults with psychological disorders in a variety of settings, including schools, workplaces, homes, and clinics. It has also been shown that consistent ABA can significantly improve behaviors and skills and decrease the need for special services."
- From Integrated Behavioral Solutions (<u>https://ibs.cc/science-of-aba/</u>): "...behaviors are
  assessed within the context of their functional relationship with their environment. That is,
  for example, how does my behavior (e.g., my language or my social interactions with other
  people) change my environment—the things and people around me—to such a degree that it
  is more or less likely to occur in the future?"



Slide #21 [ Basic Principles of ABA ]

- Antecedents are events that happen immediately before the behavior; consequences are events that happen immediately after the behavior.
- Building on the Jimmy example in the last slide, the antecedent to the behavior would be the teacher asking students to work on math problems. The behavior prompted by the antecedent was to throw the math book on the ground. In this case, the consequence provided was a trip to the office.
- Teachers can use modeling to teach an acceptable replacement behavior, such as asking for help or a break when frustrated or "stuck" on a math problem, in Jimmy's case.



Slide #22 [ Basic Principles of ABA (cont.) ]

- In managing classroom behavior and designing interventions, it is critical that teachers understand these principles. Sometimes teachers inadvertently reinforce behaviors. For example, Jimmy gets sent to the office during math class because of disruption (throwing textbook on the floor). However, getting sent to the office was not a punishment to Jimmy, but rather reinforced the behavior because he wanted to get out of doing the math work.
- Reinforcement allows us to avoid some of the unwanted effects that can result from punishment procedures.

## **Training Section Three**

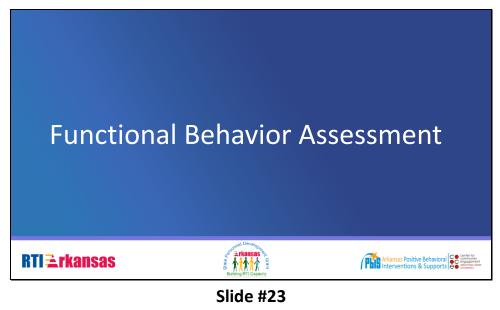
# **Functional Behavior Assessment**

## Slides 23 - 31

## Goals

Participants will learn

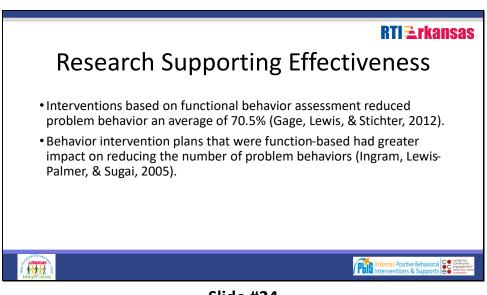
- What FBA is
- What FBA looks like



## [Functional Behavior Assessment]

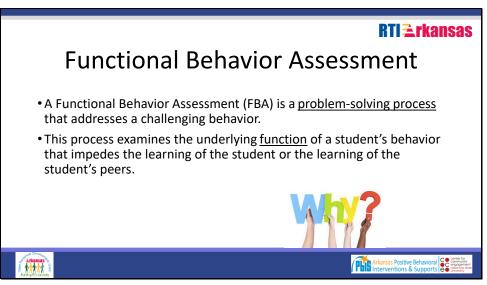
#### **Trainer Notes:**

This section will provide a brief overview of Functional Behavior Assessment. PBIS Tier III Module 4 will cover FBA in greater detail.



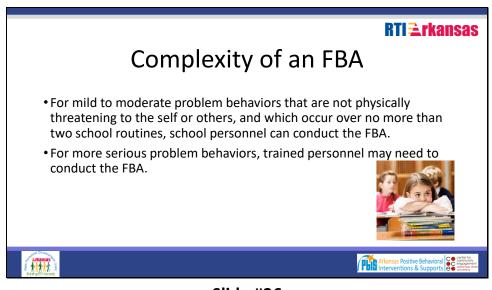
## Slide #24 [ Research Supporting Effectiveness ]

- There are many studies demonstrating the positive effects of FBA-based interventions and a growing body of research signifying that typical school personnel, with proper training, can develop effective BIPs.
- The interventions implemented with fidelity had greater impact than those that were not implemented with fidelity (Cook, et al, 2012).
- A student's disruptive behavior was substantially decreased when the function-based intervention was implemented. Additionally, the teacher, as well as the student, rated the intervention as acceptable (Hoff, Ervin & Friman, 2005).



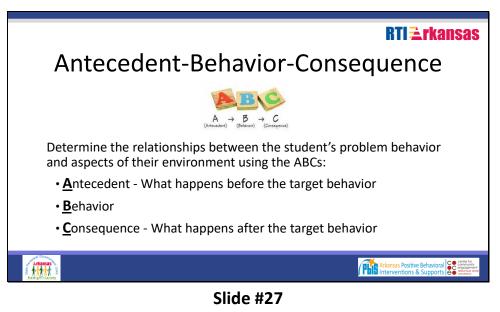
Slide #25 [ Functional Behavior Assessment ]

- The purpose of conducting an FBA is to identify the function of a student's misbehavior.
- It looks beyond the "form" of the behavior (what it looks like and sounds like) and focuses on the underlying "cause" to maintain the behavior (the function).
- All behavior serves a specific function; a student will behave a certain way to fulfill a need OR gain a desired payoff.



## Slide #26 [ Complexity of an FBA ]

- "An FBA that is conducted without the FA step is considered to be a practical, simple or 'basic' FBA, while an FBA that includes the FA step is considered to be a 'complex' FBA." (Loman, Strickland-Cohen, Borgmeier, & Horner, 2013)
- "Emerging but compelling recent research supports the implementation of basic FBAs (i.e., limited to no more than two school routines and the problem behaviors are not physically threatening to the student or adults) that can be completed by typical school personnel." (Loman & Horner, 2013; Strickland-Cohen & Horner 2015).
- A basic FBA may be conducted by school staff.
- A complex FBA requires outside staff support from a behavior specialist trained to conduct complex FBA.



[Antecedent-Behavior-Consequence]

Through completing an ABC, the team looks at the target behavior and describes the condition surrounding the behavior in context. This helps to simplify complex behavior chains for a more effective intervention plan (Baer, Wolf, & Risley, 1968; Bijou & Baer, 1961; Skinner, 1953).

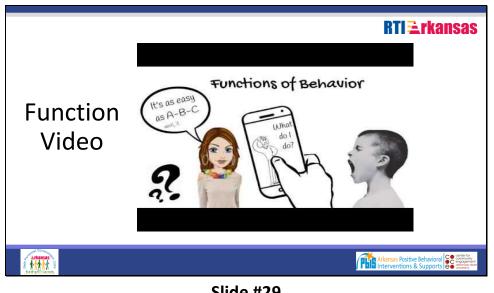
## DISCUSSION

## **Completing an A-B-C**

- Have participants think about a specific behavior incident with a student. Have them try to identify the antecedent, describe the behavior in observable/measurable terms, then identify the consequence.
- Now, consider what they could do in the future to prevent the behavior; would a different consequence make the behavior less likely to repeat?



Slide #28 [ Videos: Function of Behavior ]



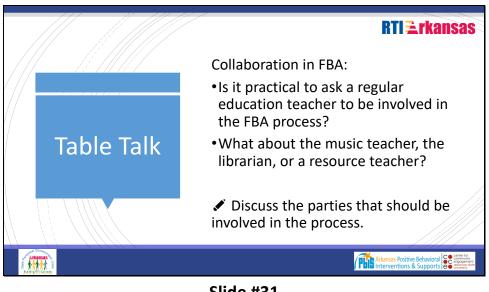
## Slide #29 [Function Video]

- This video explains possible reasons behaviors occur and strategies teachers can use to change those behaviors based on their function.
- It gives the viewer a snapshot of the ABCs of data collection to find the function of the behavior using the acronym "S.E.A.T." (S for sensory, E for escape, A for attention, and T for tangible).
- Video is on YouTube: "Functions of Behavior (Behaviorbabe) / slower version". The website linked from there is behaviorbabe.com and the owner is Dr. Amanda N. Kelly. Link to video: <u>https://www.youtube.com/watch?v=f0CnHVptht0</u>



Slide #30 [ Video: Behavior Scenario ]

- What do you think is the function of the behavior, based on this video?
- Can you identify which of the functions from the S.E.A.T. acronym are being displayed in this scenario?
- Link to video: <a href="https://www.youtube.com/watch?v=K4-XCebLwFA">https://www.youtube.com/watch?v=K4-XCebLwFA</a>
- Citation: YouTube account UABehavior prof title: Classroom Management: Function Video 5



## Slide #31 [ Table Talk ]

#### **Trainer Notes:**

- A successful FBA requires a team approach.
- The team is comprised of people who know the student best.
- This collaborative approach is best designed with the support of building administration; behavior specialist; ALL teachers, counselors, and school psychologists involved with the target student; and with parental involvement and student input.
- All parties are essential to the outcome of the process.
- On what aspect of the student and the behaviors present will they have valuable input? Given your school population, list members (titles) you would select for an FBA/BIP team.
- Reference: SWPBIS Tiered Fidelity Inventory Teams Subscale, beginning on page 17 of the tool. Link:

https://www.pbisapps.org/Resources/SWIS%20Publications/SWPBIS%20Tiered%20Fidelity% 20Inventory%20(TFI).pdf



## DISCUSSION

## **Collaboration in FBA**

Use the trainer notes to guide a discussion on collaboration in FBA. Who could and should be involved in the process?

# **Training Section Four**

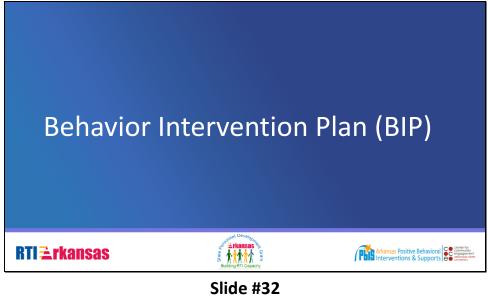
# **Behavior Intervention Plan**

## Slides 32 - 38

## Goals

Participants will learn

- What a BIP is
- Steps in the FBA/BIP process
- Basic principles of BIPs



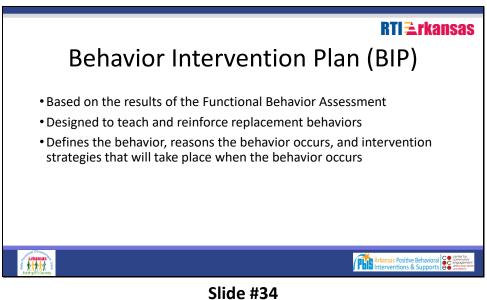
[Behavior Intervention Plan (BIP)]

- This section will provide a brief overview of Behavior Intervention Plan (BIP).
- The PBIS Tier III Module 5 will cover the BIP in greater detail.



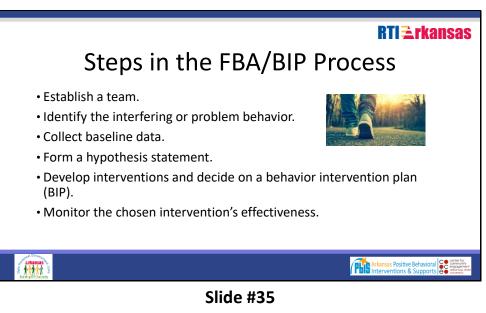
[ Communication Quote ]

In other words, if a student isn't able to communicate what is wrong, what they need, or that they need help, etc.... they will continue the behavior that works for them.



# [ Behavior Intervention Plan (BIP) ]

- The behavior intervention plan (BIP) is designed using an instructional approach, similar to that used by teachers for designing academic instruction.
- It defines how an educational setting will be changed to improve the behavioral success of the student.



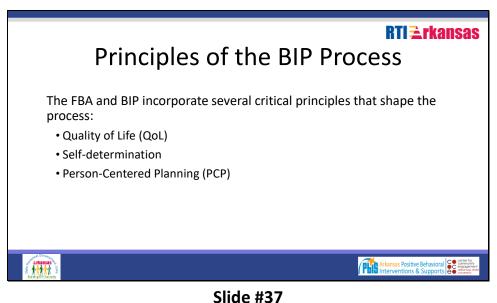
[ Steps in the FBA/BIP Process ]

- Completing an FBA and developing a BIP are done when a student's behavior significantly impedes his learning or the learning of his classmates.
- In order for the BIP to be successful, the student **MUST** be a part of the planning process.



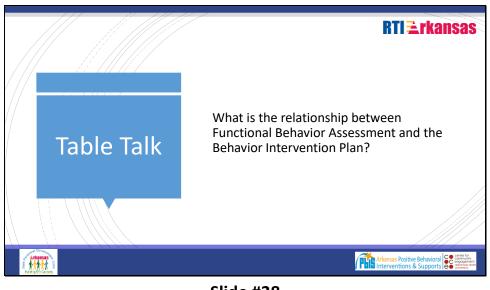
## Slide #36 [ Progress Monitoring ]

- Note: Teaming at Tier III will be addressed in Module 2. Action teams are small teams that are created to work with each individual student.
- A BIP should be monitored and adjusted as needed.
- A BIP doesn't always work as planned. Here are two of the most common reasons:
  - A mismatch between the function and the intervention. Sometimes the Tier III team assumes the misbehavior happens for one reason, but the real reason is completely something else.
  - A failure to monitor and adjust the rewards or reinforcement for appropriate behavior over time. What works at first might soon become "old hat" and need to be switched up.
- Social validity refers to the extent that replacement behaviors are appropriate; intervention procedures are acceptable, important and significant; changes in target and collateral behaviors are produced.



[ Principles of the BIP Process ]

- Quality of Life is used to measure outcomes that lead to feeling satisfied in life.
- Four characteristics that identify self-determined actions (Wehmeyer & Schalock, 2001):
  - The person acted autonomously.
  - The action was self-regulated.
  - The person initiated and responded to the event(s) in a "psychologically empowered" manner.
  - The person acted in a self-realizing manner as students seek to have needs met, their choices and decisions are made based in part upon what motivates them. Their actions are evidence of their choices.
- Allowing the student a voice and encouraging them to be an active participant further guides the FBA/BIP implementation. The individual is the focus of the planning process. The individual helps decide who will be invited to be on the planning team as part of personcentered planning.
- Another aspect of Person-Centered Planning is recognizing the abilities of ordinary individuals who can teach skills, model appropriate behaviors, and foster interdependent relationships with those with needs (Wehmeyer & Schalock, 2001).
- We will go more in-depth about these three principles in Module 5: Behavior Intervention Plan.



Slide #38 [ Table Talk ]

Guiding the discussion:

- Considering the population that requires Functional Behavior Assessment (FBA) application, are we prepared for the interventions to take a period of time to see results?
- Recognizing that identifying the function of behaviors is the largest indicator of success in implementing the Behavior Intervention Plan (BIP), the FBA is critical.
- Schools should be aware that there are many steps to move from identification to intervention planning and implementation.
- One step that should always be considered is adherence/fidelity of the BIP and monitoring of outcomes.



## DISCUSSION

### The FBA/BIP Relationship

- Use the trainer notes to guide a discussion around the relationship of FBA to the BIP.
- Stress the importance of getting relevant, thorough information during the FBA in order to determine the function of behavior and create a solid BIP that sets the student up for success.

# **Training Section Five**

# Wraparound

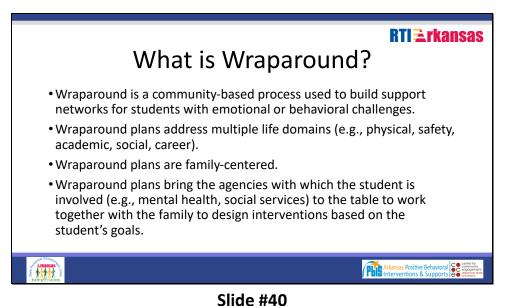
Slides 39 - 42

## Goals

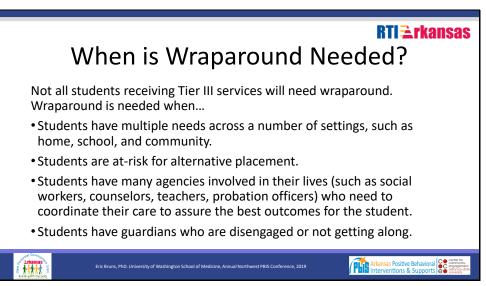
Participants will learn

- What wraparound is
- When wraparound is needed
- Who is involved in wraparound



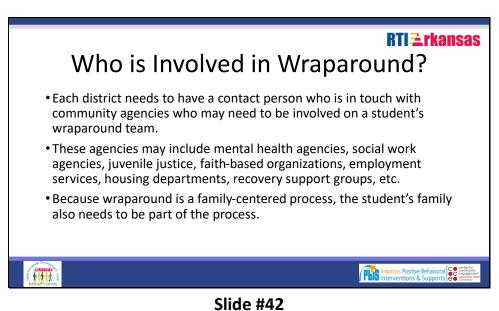


# [ What is Wraparound? ]



#### Slide #41

[When is Wraparound Needed?]



# [ Who is Involved in Wraparound? ]

# **Training Section Six**

# Teaming at Tier III

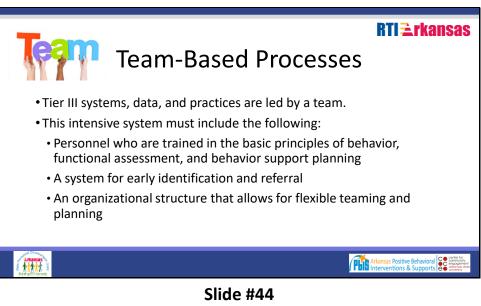
Slides 43 - 46

## Goal

Participants will learn about the team composition, resources, and professional development needed for Tier III.

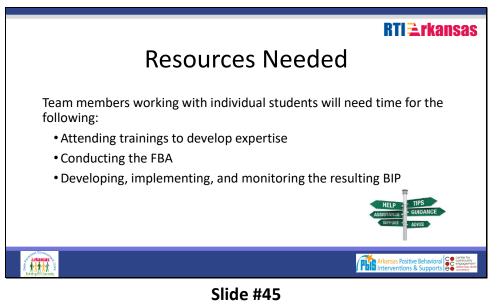


[ Teaming at Tier III ]



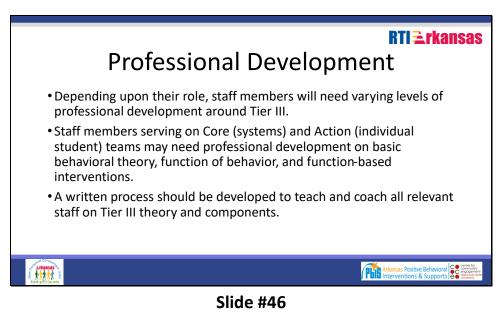
## [ Team-Based Process ]

- Team composition in Tier III should include five aspects:
  - 1. Applied behavioral expertise
  - 2. Administrative authority
  - 3. Multi-agency support
  - 4. Knowledge of students
  - 5. Knowledge about the operations of the school across grade levels and programs
- Individual action teams are formed to design and implement individual student plans. The action teams should include those adults who are typically involved with the student on a daily basis.
- More information on teaming will be included in Module 2: Tier III Leadership.



[Resources Needed]

- Adequate time will be needed for the development of Tier III systems, data, and practices.
- The development of supports, collaboration as a team and with the student, professional development, staff release time, and availability of service professionals all require a time commitment.
- Refer to the SWPBIS Tiered Fidelity Inventory Tier III Intensive Features Resources subscale as a guide for thinking about allocated time demands for this level.
  - Reference link (beginning on page 17 of the tool): <u>https://www.pbisapps.org/Resources/SWIS%20Publications/SWPBIS%20Tiered%20Fi</u> <u>delity%20Inventory%20(TFI).pdf</u>



## [ Professional Development ]

#### **Trainer Notes:**

Core and Action teams will be defined and discussed in Module 2.

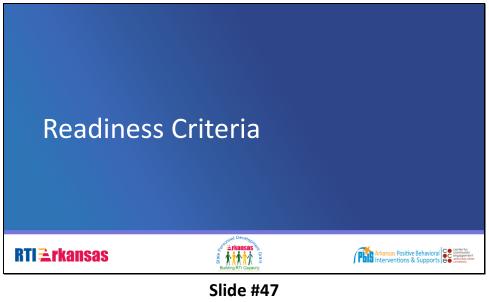
# **Training Section Seven**

# **Readiness Criteria**

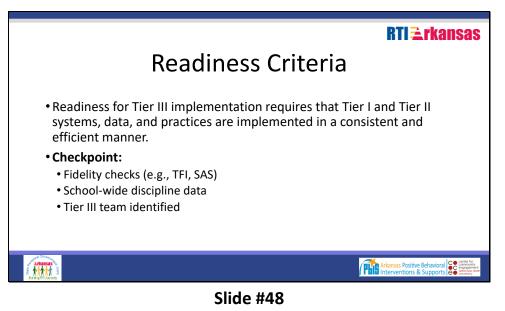
Slides 47 - 57

## Goal

Participants will learn how to get ready for Tier III implementation.

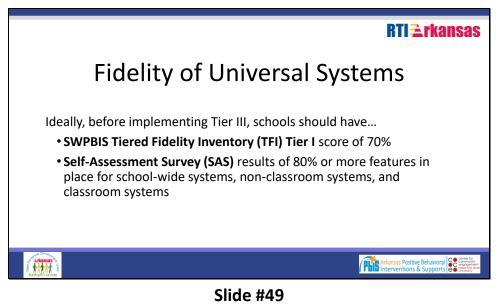


[ Readiness Criteria ]



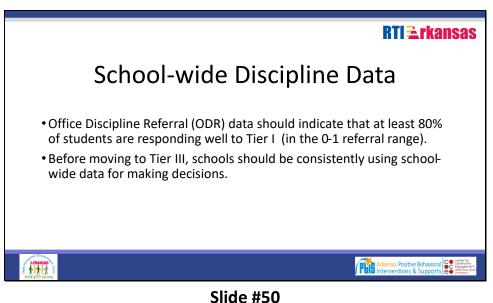
## [Readiness Criteria]

- Refer to Tier II modules and to the TFI for Tier II implementation.
- In general, Tier II full implementation consists of the following:
  - There is a standard system to identify students for Tier II supports.
  - There is a process to identify the function of behavior and match the intervention to the function.
  - Specific goals are determined for each student.
  - At least one research-based small group and/or targeted behavioral intervention is implemented.
  - Staff are trained on the Tier II system and data decision rules as well as their role in implementing the interventions.
  - Standard data are collected for each student and used for making decisions.
  - Data decision rules are in place and consistently followed.
  - Family members receive regular updates about their child's progress.



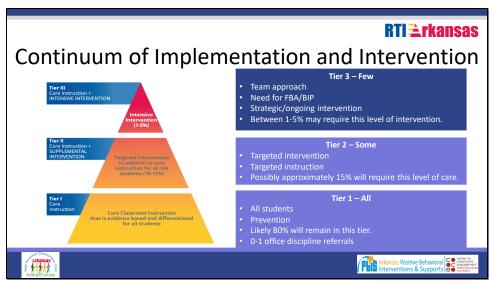
[Fidelity of Universal Systems]

- The first readiness indicator, the TFI, provides evidence that universals are implemented with fidelity.
- As schools implement Tier II and Tier III interventions, it is important that Tier I remains firmly in place so the numbers of students identified as requiring targeted and individualized interventions can be efficiently served (Missouri SW-PBS, 2017).
- The SAS is a tool used to measure how well staff think that PBIS is being implemented.



#### Slide #50 [ School-wide Discipline Data ]

- As teams move to Tier III, it is crucial that at least 80% of students are responding to Tier I.
- It is unrealistic for schools to provide targeted or individual supports for more than 20% of their students.
- Data-based decision making is the process of using relevant information to make objective decisions.
- This also provides ongoing data to ensure that Tier I is implemented with fidelity.
- Best practice:
  - Use available data.
  - Make data collection easy (<1% of staff time).
  - Develop relevant questions.
  - Display data in efficient ways.



### Slide #51

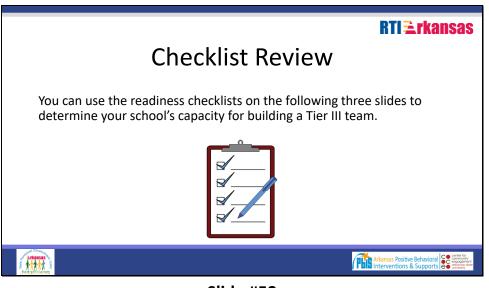
## [ Continuum of Implementation and Intervention ]

- This is a graphic representation of the three tiers of support (this is the Arkansas RTI model) and what each tier represents.
- As the identified student(s) require an increase in intervention intensity, the number of adults providing services for the student increases as well.
- The largest portion of students should be in Tier I. If the triangle is in reverse (or well beyond 5% in Tier III), a look at implementation fidelity and retraining needs to occur.

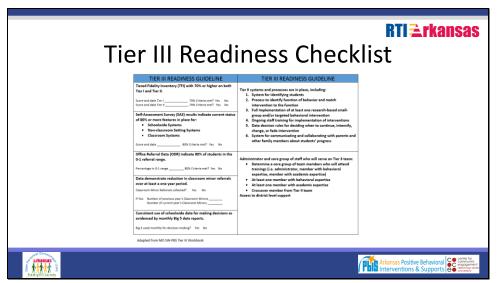


## [ Tier III Team ]

- A core group of team members will attend trainings (an administrator, a member with behavioral expertise, and a member with academic expertise).
- An administrator must be an active participant on the team since they will have knowledge of all aspects of the school and the authority to make decisions.
- The team should include at least one member of the team who has behavioral expertise, at least one member who has academic expertise, and one team member who has been identified as a crossover member who will serve on the Tier II and Tier III teams.
- The crossover member will ensure accurate and timely communication between the Tier II and III teams.
- These members should be responsible and committed to implementing a full continuum of interventions.
- The Tier III team should be available for trainings and to observe modeling of interventions.
- District level support and communication is vital for the durability of the Tier III system.



Slide #53 [ Checklist Review ]



## Slide #54 [ Tier III Readiness Checklist ]

#### **Trainer Notes:**

- This checklist, adapted from MO SW-PBS, is available as a handout on the next page.
- It is highly recommended that schools make sure these criteria are met before implementing Tier III.
- <u>http://cce.astate.edu/pbis/wp-content/uploads/2020/11/Tier-III-Readiness-Guideline.docx</u>



## **EXAMPLE**

## **Tier III Readiness Guideline**

This checklist is presented as a handout on page 67.

	TIER III READINESS GUIDELINE
Tiered Fidelity Inventory (TFI) with 70% or higher on both Tier I and Tier II.	Tier II systems and processes are in place, including: 1. System for identifying students
Score and date Tier I 70% Criteria met? Yes No Score and date Tier II 70% Criteria met? Yes No	
Self-Assessment Survey (SAS) results indicate current status of 80% or more features in place for: • Schoolwide Systems	
Non-classroom Setting Systems     Classroom Systems     Score and date 80% Criteria met? Yes No	change, or fade intervention 6. System for communicating and collaborating with parents and other family members about students' progress
Office Referral Data (ODR) indicate 80% of students in the 0-1 referral range. Percentage in 0-1 range 80% Criteria met? Yes No	<ul> <li>Administrator and core group of staff who will serve on Tier 3 team:</li> <li>Determine a core group of team members who will attend trainings (i.e. administrator, member with behavioral expertise. member with academic expertise)</li> </ul>
Data demonstrate reduction in classroom minor referrals over at least a one year period. Classroom Minor Referrals collected? Yes No If Yes: Number of previous year's Classroom Minors Number of current year's Classroom Minors	<ul> <li>At least one member with behavioral expertise</li> <li>At least one member with academic expertise</li> <li>Crossover member from Tier II team</li> <li>Access to district level support</li> </ul>
Consistent use of schoolwide data for making decisions as evidenced by monthly Big 5 data reports. Big 5 used monthly for decision-making? Yes No	

	Tier III	Readiness G	uide with Action	Planning	<b>RTI Zrkansa</b> :
		resources are hyperlinked) to	help schools determine readiness for T	eaches and technical assistance providers. ier III. It is important to have data to	
	Guiding Questions	Status/Update	Possible Data Sources	Notes, Action Plans	
	What is our WHY? Why do we want to implement <u>Tier III</u> ?		Mission or Vision statement		
Page 1	Are administration and staff committed to implementing Tier III?		Survey		
	Do we have resources to implement <u>lier III</u> ? (e.g., personnel, expertise, resource allocation for interventions, etc.)		School Improvement Plan priorities     Mental health partners     Initiative Inventory		
	70% or higher on the Tiered     80% or higher on the Self-As     Effective classroom practices	Fidelity Inventory (TFI) sessment Survey (SAS)	Tier III Readiness Criteria: 80% of students with 1 A sufficient number of 1 80% of students in Tier	ier II interventions are accessible	
	<b>RTI Zrkansas</b>			Atlancis Positive Behavioral	
				,	

Slide #55

## [ Tier III Readiness Guide with Action Planning, Page 1 ]

#### **Trainer Notes:**

- This is page 1 of the Tier III Building Readiness Checklist/Guide.
- The Readiness Guide is on pages 70-71.
- <a href="http://cce.astate.edu/pbis/leadership-at-tier-iii/">http://cce.astate.edu/pbis/leadership-at-tier-iii/</a>

## EXAMPLE

## **Tier III Readiness Guide with Action Planning**

This guide is presented as a handout on pages 70 - 71.

	Guiding Questions	Status/Update	Possible Data Sources	Notes, Action Plans	RTI≩rkansa
	How well are we implementing Tier I and Tier II?		Tiered Fidelity Inventory (TFI)     Self-Assessment Survey (SAS)     Student outcomes		
	How many students are following the school-wide expectations most of the time?		School-wide discipline data		
age 2	Are we regularly collecting and reviewing school-wide discipline data and sharing with stakeholders?		<u>Communication Plan</u> <u>Team meeting minutes</u>		
0	Are effective classroom practices being implemented widely? Are classroom minor data being documented?		Classroom Checklists		
	Are Tier II intervention data being collected and reviewed regularly?		Team meeting minutes		
	Is our current data system capable of supporting Tier III data?				
	L				
	RTI <b>Zrkansas</b>			Arkansas Positive Behavioral	

Slide #56

[ Tier III Readiness Guide with Action Planning, Page 2 ]

### **Trainer Notes:**

This guide is on the CCE PBIS website here: <u>http://cce.astate.edu/pbis/leadership-at-tier-iii/</u>

Arkansas Positive Behavioral Co center ta Argagement Interventions & Supports O consessment



Below is an interactive guide (helpful resources are hyperlinked) to help schools determine readiness for Tier III. It is important to have data to substantiate your responses, so some possible data sources are listed below.	resources are hyperlinked) to hel possible data sources are listed l	lp schools determine readiness for Ti below.	er III. It is important to have data to
<b>Guiding Questions</b>	Status/Update	Possible Data Sources	Notes, Action Plans
What is our WHY? Why do we want to implement <u>Tier III</u> ?		Mission or Vision statement	
Are administration and staff committed to implementing Tier III?		Survey	
Do we have resources to implement <u>Tier III</u> ? (e.g., personnel, expertise, resource allocation for interventions, etc.)		<ul> <li>School Improvement Plan priorities</li> <li>Mental health partners</li> <li><u>Initiative Inventory</u></li> </ul>	
<ul> <li>Recomment</li> <li>70% or higher on the Tiered Fidelity Inventory (TFI)</li> <li>80% or higher on the Self-Assessment Survey (SAS)</li> <li>Effective classroom practices are being implements</li> </ul>	Recommended Ti idelity Inventory (TFI) essment Survey (SAS) are being implemented	Recommended Tier III Readiness Criteria:         / Inventory (TFI)       • 80% of students with 1 or fewer discipline referra         ent Survey (SAS)       • A sufficient number of Tier II interventions are ac end in the students in Tier II have positive outcomes	<b>eadiness Criteria:</b> 80% of students with 1 or fewer discipline referrals A sufficient number of Tier II interventions are accessible 80% of students in Tier II have positive outcomes

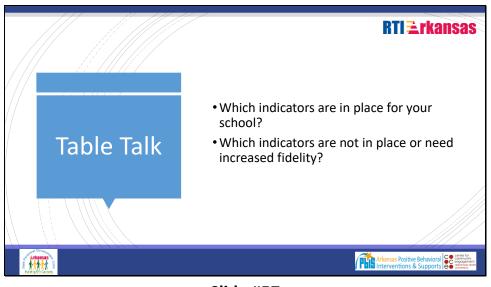
Note: Tier III implementation decisions should be based on local context and in coordination with local coaches and technical assistance providers.

**Tier III Readiness Guide with Action Planning** 

<b>Guiding Questions</b>	Status/Update	Possible Data Sources	Notes, Action Plans
How well are we implementing Tier I and Tier II?		<ul> <li><u>Tiered Fidelity Inventory (TFI)</u></li> <li><u>Self-Assessment Survey (SAS)</u></li> <li>Student outcomes</li> </ul>	
How many students are following the school-wide expectations most of the time?		School-wide discipline data	
Are we regularly collecting and reviewing school-wide discipline data and sharing with stakeholders?		<ul> <li><u>Communication Plan</u></li> <li><u>Team meeting minutes</u></li> </ul>	
Are <u>effective classroom practices</u> being implemented widely? Are classroom minor data being documented?		Classroom Checklists	
Are Tier II intervention data being collected and reviewed regularly?		Team meeting minutes	
Is our current data system capable of supporting Tier III data?			







## Slide #57 [ Table Talk ]

#### **Trainer Notes:**

Discussion for after completing the Tier III Readiness Checklist:

- What resources are available to meet the needs of Tier III?
  - How will you access necessary resources?
- Add to/revise your action plan to document action steps that need to be completed.
  - What additional information or technical assistance does your school need?
- Think of the qualities and attributes of the PBIS Tier III team members.
  - Their position in the school/district allows time and flexibility for collaboration.
  - Their professional beliefs create an atmosphere that fosters support for children and families and voice, choice and access for families and youth.
  - They are skilled in facilitation, focus, gathering data, and follow through.

# **ACTIVITY (Optional)**

### **Tier III Readiness Checklist**

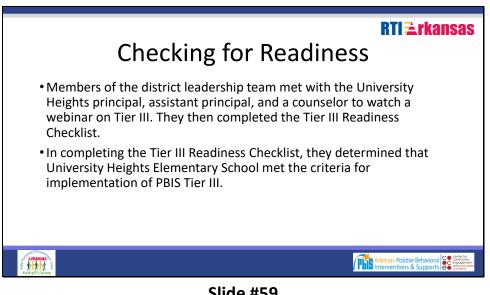
- Ask participant teams to go through the Tier III Readiness Checklist (on pages 70 – 71).
- Afterwards, engage in a discussion using the bullet points in the trainer notes.

# Case Study, TFI, and Next Steps

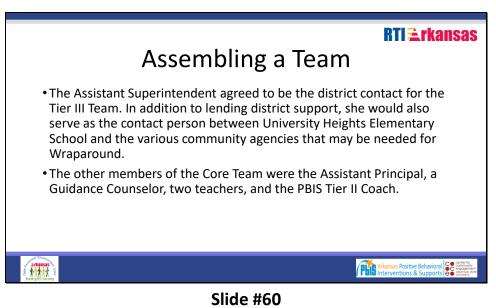
Slides 58 - 68

- A summary of how this module relates to the case study
- A review of the TFI components addressed in this module
- A preview of what to expect in the next module

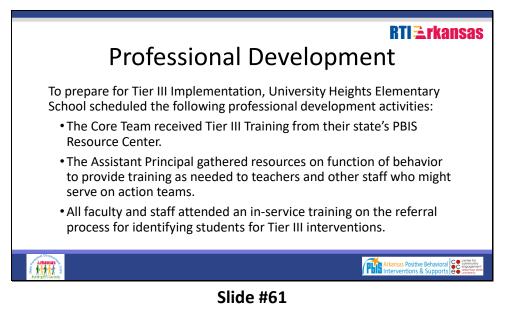




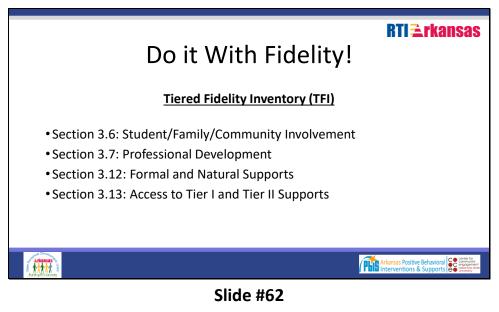
Slide #59 [ Checking for Readiness ]



[Assembling a Team]



[ Professional Development ]



## [ Do it With Fidelity! ]

#### **Trainer Notes:**

- The TFI is an important tool in the PBIS implementation process.
- It can be used in the development stage, and then used in an ongoing manner to ensure all core features are in place.
- The TFI highlights each critical component of PBIS.
- The next 4 slides will give more information on each of the items on this slide to help schools measure fidelity of implementation.



#### Slide #63

[ 3.6 Student/Family/Community Involvement ]

RTI <b>≧rkansas</b> 3.7 Professional Development			
Feature	Possible Data Sources	Scoring Criteria	
Professional Development: A written process is followed for teaching all relevant staff about basic behavioral theory, function of behavior, and function-based intervention.	<ul> <li>Professional development calendar</li> <li>Staff handbook</li> <li>Lesson plans for teacher trainings</li> <li>School policy</li> </ul>	<ul> <li>0 = No process is in place for teaching staff.</li> <li>1 = Professional development and orientation process is informal.</li> <li>2 = Written process is used to teach and coach all relevant staff in basic behavioral theory, function of behavior, and function-based intervention.</li> </ul>	
	ctive implementation of Tier that relevant staff have the cessary for success.		
		Arkansas Positive Behavioral Contract the Service and Contract and Con	

#### Slide #64

[ 3.7 Professional Development ]

RTI≧rkansa 3.12 Formal and Natural Supports				
Feature	Possible Data Sources	Scoring Criteria		
natural (e.g., family, friends) supporters.	l,	<ul> <li>0 = Plan does not include specific actions, or there are no plans with extensive support.</li> <li>1 = Plan includes specific actions, but they are not related to the quality of life needs and/or do not include natural supports.</li> </ul>		
	Main Idea: Some Tier 3 plans may need to include professionals, service providers, and individuals who are familiar with the strengths and needs of the student.	2 = Plan includes specific actions, linked logically to the quality of life needs, and they include natural supports.		

Slide #65

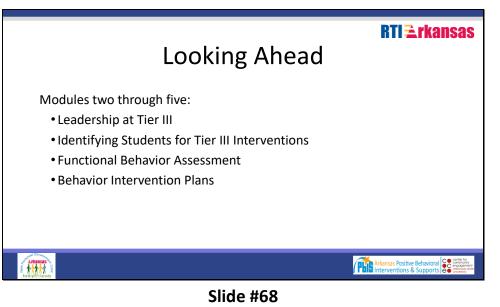
[ 3.12 Formal and Natural Supports ]

#### **RTI≩**rkansas 3.13 Access to Tier I and Tier II Supports Scoring Criteria Feature Possible Data Sources Access to Tier 1 and Tier 2 Three randomly selected Tier 0 = Individual student support plans do not mention Tier 1 Support: 3 behavior support plans Students receiving Tier 3 created in the last 12 months and/or Tier 2 supports, or there supports have access to, and are (see TFI Tier 3 Support Plan are no Tier 3 support plans. included in, available Tier 1 and Worksheet) 1 = Individual supports include Tier 2 supports. some access to Tier 1 and/or Tier 2 supports. 2 = Tier 3 supports include full Main Idea: Tier 3 supports are more access to any appropriate Tier 1 effective when layered within Tiers 1 and 2. and Tier 2 supports and document how access will occur. C C C Arkansas Arkansas PLA

#### Slide #66

[ 3.13 Access to Tier I and Tier II Supports ]





[Looking Ahead]

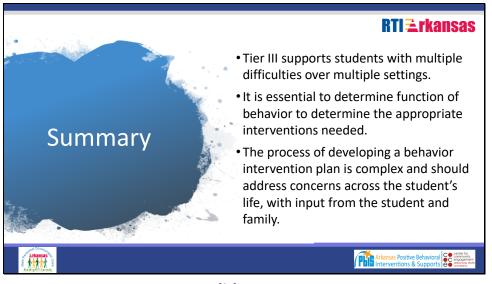
# Conclusion

Slides 69 - 73

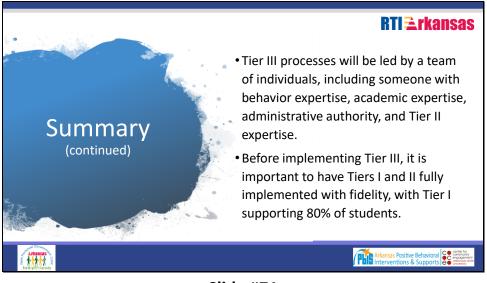
- Summary
- Resources



[ Summary and Resources ]



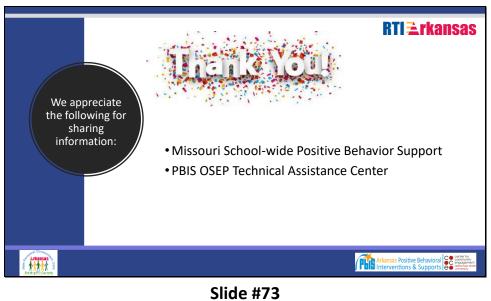
Slide #70 [ Summary ]



Slide #71 [ Summary (continued) ]



Slide #72 [ Resources ]



[ Thank You! ]

### **Discussion Questions:**

The following questions will help schools start thinking about the first steps involved in Tier III implementation. If there is time, ask some of the questions below to engage attendees and assess whether they understand the material that has been presented. If you have a large group, consider having smaller groups discuss the questions and then report back to the entire group.

- 1. What is your plan for determining readiness for implementing Tier III?
- 2. How will you ensure and document your school's commitment to implementing Tier III?

- 3. Who will serve on your Tier III Core Team? How will you ensure they have adequate time to carry out their responsibilities?
- 4. How and when will you introduce Tier III to staff?

#### Homework:

- Complete the <u>Readiness Guide</u> and develop an action plan for implementing Tier III.
- Identify Tier III Core Team members.
- Plan and schedule initial and ongoing training and professional development for school staff around Tier III and Tier III topics: function of behavior, FBA, BIPs, etc.

#### **Resources:**

The following resources will give attendees more information on PBIS Tier III:

- <u>Center on PBIS: Tier III</u>
- Missouri SW-PBS: Tier III Overview

#### Next Module:

The suggested next module is Module 2: Tier III Leadership.